

# Recommended Regulations and Standards for Specific Healthcare Settings (2002 updated)

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*Editor's note: The following replaces information contained in the June 2002 Practice Brief "Recommended Regulation and Standard Acquisitions for Specific Healthcare Settings (Updated)" available in the FORE Library: HIM Body of Knowledge as well as in the June 2002 Journal of AHIMA.*

HIM skills are appropriately applied to a multitude of healthcare settings. Whether you are honing existing skills or making the transition to a new healthcare setting, acquisition of and thorough familiarization with the regulations and standards listed below are recommended.

<b>Practice Setting: All Settings</b>	
<b>Recommended Resources</b>	<b>Source</b>
State HIMA confidentiality, legal, or release of information manual (usually includes applicable state laws)	State health information management associations
State healthcare facility licensure laws	State departments of health, <a href="http://www.astho.org/index.php?template=regional_links.php">www.astho.org/index.php?template=regional_links.php</a>
State laws relative to record retention and destruction	State laws relative to record retention and destruction, <a href="http://www.alllaw.com">www.alllaw.com</a> and individual state government Web sites
Health Insurance Portability and Accountability Act (HIPAA)	US Department of Health and Human Services, <a href="http://aspe.hhs.gov/admsimp/">http://aspe.hhs.gov/admsimp/</a>
Recording and Reporting Occupational Injuries and Illnesses (42CFR 1904)	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/29cfr1904_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/29cfr1904_01.html</a>
Patient Self-Determination Act (42 USC 1395-1396)* (Advanced Directives)	The Office of the Law Revision Counsel, <a href="http://uscode.house.gov/uscode-cgi/fastweb.exe?getdoc%2Bsearch%2Bt41t42%2B1960%2B0%2B%2B%28%29%20%20A%20">http://uscode.house.gov/uscode-cgi/fastweb.exe?getdoc%2Bsearch%2Bt41t42%2B1960%2B0%2B%2B%28%29%20%20A%20</a>
Medicare and Medicaid Program Manuals, Memorandums, and Transmittals	Centers for Medicare & Medicaid Services (CMS) Web site, <a href="http://www.cms.hhs.gov/manuals/default.asp">www.cms.hhs.gov/manuals/default.asp</a>

Health Utilization Management Standards	American Accreditation Healthcare Commission/URAC, (202) 216-9010, <a href="http://www.urac.org/docs/standards/Health%20UM%20v4-1%20Standards%20020725.pdf">www.urac.org/docs/standards/Health%20UM%20v4-1%20Standards%20020725.pdf</a>
Workers' Compensation Utilization Management Standards	American Accreditation Healthcare Commission/URAC, (202) 216-9010, <a href="http://www.urac.org/urac.asp?id=69">www.urac.org/urac.asp?id=69</a>
Health Provider Credentialing Standards	American Accreditation Healthcare Commission/URAC, (202) 216-9010, <a href="http://www.urac.org/urac.asp?id=68">www.urac.org/urac.asp?id=68</a>

### Practice Setting: *Long-term Care*

Recommended Resources	Source
Conditions of Participation for Long Term Care (42 CFR 483)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr483_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr483_01.html</a>
MDS 2.0 Information Site	CMS Web site, <a href="http://www.cms.hhs.gov/medicaid/mds20/default.asp">www.cms.hhs.gov/medicaid/mds20/default.asp</a>
Resident Assessment Instrument for Long-term Care Facilities	CMS Web site, <a href="http://www.cms.hhs.gov/medicaid/mds20/man-form.asp">www.cms.hhs.gov/medicaid/mds20/man-form.asp</a>
SNF Program Manual	CMS Web site, <a href="http://www.cms.hhs.gov/manuals/12_snf/SN00.asp">www.cms.hhs.gov/manuals/12_snf/SN00.asp</a>
Federal Statutes, Regulations, and Policies Governing the ICF/MR Program	CMS Web site, <a href="http://www.cms.hhs.gov/medicaid/icfmr/icfregs.asp">www.cms.hhs.gov/medicaid/icfmr/icfregs.asp</a>
Interpretive Guidelines for Long-term Care Facilities	CMS Web site, <a href="http://www.cms.hhs.gov/cop/1.asp">www.cms.hhs.gov/cop/1.asp</a>
Survey Process for SNFs and NFs	CMS Web site, <a href="http://www.cms.hhs.gov/medicaid/reports/rp700-c.pdf">www.cms.hhs.gov/medicaid/reports/rp700-c.pdf</a>
Medicare SNF Prospective Payment System	CMS Web site, <a href="http://www.cms.hhs.gov/providers/snfpps/default.asp">www.cms.hhs.gov/providers/snfpps/default.asp</a>
Office of Inspector General Compliance Plan for Nursing Facilities	Department of Health and Human Services Office of Inspector General, (202) 619-1343, <a href="http://oig.hhs.gov/authorities/docs/cpgnf.pdf">http://oig.hhs.gov/authorities/docs/cpgnf.pdf</a>
Comprehensive Accreditation Manual for Assisted Living	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Assisted Living Standards Manual	Commission on Accreditation of Rehabilitation Facilities, (520) 325-1044, <a href="http://www.carf.org">www.carf.org</a>
Comprehensive Accreditation Manual for Long-term Care	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>

### Practice Setting: *Home Health*

Recommended Resources	Source
Conditions of Participation for Home Health (42 CFR 484)*	National Archives and Records Administration, Code of Federal Regulations,

	<a href="http://www.access.gpo.gov/nara/cfr/waisidx_99/42cfr484_99.html">www.access.gpo.gov/nara/cfr/waisidx_99/42cfr484_99.html</a>
OASIS Home Page	CMS Web site, <a href="http://www.cms.hhs.gov/oasis/default.asp">www.cms.hhs.gov/oasis/default.asp</a>
Medicare Program Manual	CMS Web site, <a href="http://www.cms.hhs.gov/manuals/11_hha/HH00.asp">www.cms.hhs.gov/manuals/11_hha/HH00.asp</a>
Interpretive Guidelines for Conditions of Participation	CMS Web site, <a href="http://www.cms.hhs.gov/oasis/intguide.pdf">www.cms.hhs.gov/oasis/intguide.pdf</a>
Medicare Home Health Prospective Payment System	CMS Web site, <a href="http://www.cms.hhs.gov/providers/hhapps/default.asp">www.cms.hhs.gov/providers/hhapps/default.asp</a>
Office of Inspector General Compliance Program Guidance	Department of Health and Human Services Office of Inspector General, (202) 619-1343, <a href="http://oig.hhs.gov/authorities/docs/cpghome.pdf">http://oig.hhs.gov/authorities/docs/cpghome.pdf</a>
Standards of Practice for Community Health	Community Health Accreditation Program, (800) 656-9656, <a href="http://www.chapinc.org">www.chapinc.org</a>
Comprehensive Accreditation Manual for Home Care	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>

### Practice Setting: *Hospice*

Recommended Resources	Source
Conditions of Participation for Hospices (42 CFR 418)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr418_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr418_01.html</a>
Medicare Payment Policies	CMS Web site, <a href="http://www.cms.hhs.gov/providers/hospiceps/default.asp">www.cms.hhs.gov/providers/hospiceps/default.asp</a>
Survey Procedures and Interpretive Guidelines for Hospice	CMS Web site, <a href="http://www.cms.hhs.gov/medicaid/hospice/som-ap-m.pdf">www.cms.hhs.gov/medicaid/hospice/som-ap-m.pdf</a>
Medicare Program Manual	CMS Web site, <a href="http://www.cms.hhs.gov/manuals/21_hospice/hs0-fw.asp">www.cms.hhs.gov/manuals/21_hospice/hs0-fw.asp</a>
Office of Inspector General Compliance Program Guidance	Department of Health and Human Services Office of Inspector General, (202) 619-1343, <a href="http://oig.hhs.gov/authorities/docs/hospicx.pdf">http://oig.hhs.gov/authorities/docs/hospicx.pdf</a>
Comprehensive Accreditation Manual for Home Care	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Standards of Practice for Hospice Programs	National Hospice and Palliative Care Organization, (800) 646-6460, <a href="http://www.nhpco.org">www.nhpco.org</a>
Community Health Accreditation Program Standards for Hospices	Community Health Accreditation Program, (800) 656-9656, <a href="http://www.chapinc.org">www.chapinc.org</a>

### Practice Setting: *Hospitals*

<b>Recommended Resources</b>	<b>Source</b>
Conditions of Participation for Hospitals (42 CFR 482)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr482_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr482_01.html</a>
Medicare Hospital Inpatient Prospective Payment System	CMS Web site, <a href="http://www.cms.hhs.gov/providers/hipps/default.asp">www.cms.hhs.gov/providers/hipps/default.asp</a>
Office of Inspector General Compliance Program Guidance	Department of Health and Human Services Office of Inspector General, (202) 619-1343, <a href="http://oig.hhs.gov/authorities/docs/cpghosp.pdf">http://oig.hhs.gov/authorities/docs/cpghosp.pdf</a>
Conditions of Participation for Patient Rights	CMS Web site, <a href="http://www.cms.hhs.gov/cop/2b.asp">www.cms.hhs.gov/cop/2b.asp</a>
Interpretive Guidelines for Swing Bed Hospitals	CMS Web site, <a href="http://www.cms.hhs.gov/cop/1.asp">www.cms.hhs.gov/cop/1.asp</a>
Hospital Outpatient Prospective Payment System	CMS Web site, <a href="http://www.cms.hhs.gov/regulations/hopps/">www.cms.hhs.gov/regulations/hopps/</a>
Medicare Hospital Prospective Payment System Instructions	CMS Web site, <a href="http://www.cms.hhs.gov/providers/hipps/default.asp">www.cms.hhs.gov/providers/hipps/default.asp</a>
Medicare Program Manual	CMS Web site, <a href="http://www.cms.hhs.gov/manuals/10_hospital/ho00.asp">www.cms.hhs.gov/manuals/10_hospital/ho00.asp</a>
Interpretive Guidelines for Critical Access Hospitals	CMS Web site, <a href="http://www.cms.hhs.gov/cop/1.asp">www.cms.hhs.gov/cop/1.asp</a>
Interpretive Guidelines for Hospitals	CMS Web site, <a href="http://www.cms.hhs.gov/cop/1.asp">www.cms.hhs.gov/cop/1.asp</a>
Prospective Payment System for Long-term Care Hospitals	CMS Web site, <a href="http://www.cms.hhs.gov/providers/longterm/default.asp">www.cms.hhs.gov/providers/longterm/default.asp</a>
Healthcare Facilities Accreditation Program	American Osteopathic Association, (800) 621-1773, <a href="http://www.aoa-net.org/Accreditation/HFAP/HFAP.htm">www.aoa-net.org/Accreditation/HFAP/HFAP.htm</a>
Comprehensive Accreditation Manual for Hospitals	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Comprehensive Accreditation Manual for Critical Access Hospitals	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>

## Practice Setting: *Clinical Research*

<b>Recommended Resources</b>	<b>Source</b>

Protection of Human Subjects (45 CFR 46)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/45cfr46_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/45cfr46_01.html</a>
Animal Welfare (9 CFR, Ch. 1)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_02/9cfrv1_02.html">www.access.gpo.gov/nara/cfr/waisidx_02/9cfrv1_02.html</a>

### Practice Setting: *Substance Abuse*

Recommended Resources	Source
Conditions of Participation for Drug, Alcohol and Substance Abuse (42 USC 290dd-3)*	<a href="http://www.ucop.edu/raohome/certs/42290dd3.html">www.ucop.edu/raohome/certs/42290dd3.html</a>
Code of Federal Regulations (42 CFR 2)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr2_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr2_01.html</a>
<i>Comprehensive Accreditation Manual for Behavioral Health Care</i>	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>

### Practice Setting: *Behavioral Health*

Recommended Resources	Source
Conditions of Participation for Drug, Alcohol, and Substance Abuse (42 CFR 2)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr2_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr2_01.html</a>
Medicare Interpretive Guidelines and Survey Procedures for Psychiatric Hospitals	CMS Web site, <a href="http://www.cms.hhs.gov/cop/1.asp">www.cms.hhs.gov/cop/1.asp</a>
Comprehensive Accreditation Manual for Behavioral Health Care	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Behavioral Health Standards Manual	Rehabilitation Accreditation Commission, (520) 325-1044, <a href="http://www.carf.org">www.carf.org</a>
Standards for the Accreditation of Managed Behavioral Healthcare Organizations	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>

### Practice Setting: *Physical Medicine And Rehabilitation*

<b>Recommended Resources</b>	<b>Source</b>
Conditions of Participation: Specialized Providers (42 CFR 485)*	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr485_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr485_01.html</a>
Inpatient Rehabilitation Facility Prospective Payment System	CMS Web site, <a href="http://www.cms.hhs.gov/providers/irfpps/default.asp">www.cms.hhs.gov/providers/irfpps/default.asp</a>
Medicare Program Manual	CMS Web site, <a href="http://www.cms.hhs.gov/manuals/108_pim/pim83c06.asp">www.cms.hhs.gov/manuals/108_pim/pim83c06.asp</a> <a href="http://www.cms.hhs.gov/manuals/09_opt/op00.asp">www.cms.hhs.gov/manuals/09_opt/op00.asp</a>
Medicare Survey Forms for Outpatient Rehab	CMS Web site, <a href="http://www.cms.hhs.gov/medicaid/rehab/default.asp">www.cms.hhs.gov/medicaid/rehab/default.asp</a>
Conditions of Participation for Comprehensive Outpatient Rehabilitation Facilities	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr488_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr488_01.html</a>
Interpretive Guidelines for Comprehensive Outpatient Rehabilitation Facilities	CMS Web site, <a href="http://www.cms.hhs.gov/cop/1.asp">www.cms.hhs.gov/cop/1.asp</a>
Medical Rehabilitation Standards Manual	Commission on Accreditation of Rehabilitation Facilities, (520) 325-1044, <a href="http://www.carf.org">www.carf.org</a>

### **Practice Setting: *End Stage Renal Disease Program***

<b>Recommended Resources</b>	<b>Source</b>
Medicare Payment Systems Patient Profile Tables	CMS Web site, <a href="http://www.cms.hhs.gov/providers/esrd/default.asp">www.cms.hhs.gov/providers/esrd/default.asp</a>
Conditions of Participation (42 CFR Part 405.2100, Subpart U) *	National Archives and Records Administration, Code of Federal Regulations, <a href="http://www.access.gpo.gov/nara/cfr/waisidx_01/42cfr405_01.html">www.access.gpo.gov/nara/cfr/waisidx_01/42cfr405_01.html</a>
Survey Procedures and Interpretive Guidelines	CMS Web site, <a href="http://www.cms.hhs.gov/cop/1.asp">www.cms.hhs.gov/cop/1.asp</a>

### **Practice Setting: *Renal Dialysis***

<b>Recommended Resource</b>	<b>Source</b>
Medicare Renal Dialysis Facility Manual	CMS Web site, <a href="http://www.cms.hhs.gov/manuals/29_rdf/rd0-fw.asp">www.cms.hhs.gov/manuals/29_rdf/rd0-fw.asp</a>

**Practice Setting: *Ambulatory***

<b>Recommended Resources</b>	<b>Source</b>
Comprehensive Accreditation Manual for Ambulatory Care	Joint Commission on Accreditation of Healthcare Facilities, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Medicare Payment Rules for Ambulatory Care	CMS Web site, <a href="http://www.cms.hhs.gov/suppliers/asc/">www.cms.hhs.gov/suppliers/asc/</a>
Medicare Documentation Guidelines for Evaluation and Management Services	CMS Web site, <a href="http://www.cms.hhs.gov/medlearn/emdoc.asp">www.cms.hhs.gov/medlearn/emdoc.asp</a>
Interpretive Guidelines for Ambulatory Surgical Services	CMS Web site, <a href="http://www.cms.hhs.gov/cop/1.asp">www.cms.hhs.gov/cop/1.asp</a>
Office of Inspector General Compliance Program for Physician Practices	Department of Health and Human Services Office of Inspector General, (202) 619-1343, <a href="http://oig.hhs.gov/authorities/docs/physician.pdf">http://oig.hhs.gov/authorities/docs/physician.pdf</a>
Medicare Manual for Rural Health Clinics	CMS Web site, <a href="http://www.cms.hhs.gov/manuals/27_rhc/rh00.asp">www.cms.hhs.gov/manuals/27_rhc/rh00.asp</a>
Accreditation Handbook for Ambulatory Health Care	Accreditation Association for Ambulatory Health Care Inc., (847) 853-6060, <a href="http://www.aaahc.org">www.aaahc.org</a>

**Practice Setting: *Government Healthcare Facilities***

<b>Recommended Resource</b>	<b>Source</b>
Federal Privacy Act of 1974 (Pub. L. 93-579, Dec. 31, 1974, 88)1	US Department of Justice, <a href="http://www.usdoj.gov/04foia/privstat.htm">www.usdoj.gov/04foia/privstat.htm</a>

**Practice Setting: *Managed Care***

<b>Recommended Resources</b>	<b>Source</b>
Comprehensive Accreditation Manual for Health Care Networks	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Accreditation Manual for Preferred Provider Organizations	Joint Commission on Accreditation of Healthcare Organizations, (630) 792-5800, <a href="http://www.jcaho.org">www.jcaho.org</a>
Health Plan Employer Data & Information Set (HEDIS) Products	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>
Standards for the Accreditation of Managed Care Organizations	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>
Standards and Guidelines for the Accreditation of Preferred Provider Organizations	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>
Standards and Guidelines for the Accreditation of Managed	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>

Behavioral Healthcare Organizations	
Standards and Guidelines for the Certification of Physician Organizations	National Committee for Quality Assurance, (202) 955-5697, <a href="http://www.ncqa.org">www.ncqa.org</a>
FAACT Quality Measures	Foundation for Accountability (FACCT), (503) 223-2228, <a href="http://www.facct.org/facct/site/facct/facct/Measures">www.facct.org/facct/site/facct/facct/Measures</a>
Health Network Accreditation Standards	American Accreditation Healthcare Commission/URAC, (202) 216-9010, <a href="http://www.urac.org/urac.asp?id=65">www.urac.org/urac.asp?id=65</a>
Medicare Managed Care Manual	CMS Web site, <a href="http://www.cms.hhs.gov/manuals/116_mmc/mc86toc.asp">www.cms.hhs.gov/manuals/116_mmc/mc86toc.asp</a>
Workers' Compensation Network Standards	American Accreditation Healthcare Commission/URAC, (202) 216-9010, <a href="http://www.urac.org/urac.asp?id=69">www.urac.org/urac.asp?id=69</a>

### Practice Setting: *Jails/Corrections*

Recommended Resources	Source
Standards for Health Services in Jails	National Commission on Correctional Health Care, (773) 880-1460, <a href="http://www.ncchc.org/pubs_catalog.html">www.ncchc.org/pubs_catalog.html</a>
Standards for Health Services in Juvenile Detention and Confinement Facilities	National Commission on Correctional Health Care, (773) 880-1460, <a href="http://www.ncchc.org/pubs_catalog.html">www.ncchc.org/pubs_catalog.html</a>
Standards for Health Services in Prisons	National Commission on Correctional Health Care, (773) 880-1460, <a href="http://www.ncchc.org/pubs_catalog.html">www.ncchc.org/pubs_catalog.html</a>
<i>Agency Manual of Accreditation Policy and Procedure</i>	American Correctional Association, (800) 222-5646, <a href="http://www.aca.org/standards/index.html">www.aca.org/standards/index.html</a>

\*May also be available at a local city, county, or law library

### Revised by

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### Acknowledgment

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**Source:** AHIMA Practice Brief, "Recommended Regulations and Standards for Specific Healthcare Settings" (Updated December 2002)

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